WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



(51) International Patent Classification 4: A61K 37/00	A1	(11) International Publication Number: WO 86/0052 (43) International Publication Date: 30 January 1986 (30.01.8)
(21) International Application Number: PCT/US (22) International Filing Date: 5 July 1985	•	ropean patent), BR, CH (European patent), DE (E ropean patent), FR (European patent), GB (European patent), IT (European patent), IR, LI, (European patent), IT (European patent), IR, LI, (European patent), IR, (European patent), IR, LI, (European patent), IR, (European paten
(31) Priority Application Number:	628,1	
(32) Priority Date: 5 July 1984 ((05.07.8	
33) Priority Country:	ί	With amended claims.
71)(72) Applicants and Inventors: CAPLAN, Arnol- US]; 1300 Oak Ridge Drive, Cleveland Height (US). SYFTESTAD, Glenn, T. [US/L Warrensville Center Road, Shaker Heights, C (US).	ghts, O	
74) Agent: FISHER, Thomas, E.; Watts, Hoffman & Heinke, 1805 East Ohio Building, Clevels 44114 (US).	n, Fishe and, O	
		·

ADAPTING SOLUBLE BONE PROTEIN FOR USE IN STIMULATING OSTEOINDUC-TION

(57) Abstract

A method for treating implants such as biodegradable masses, xenogenic bony implants, allografts and prosthetic devices with soluble bone protein to enhance or stimulate new cartilage and/or bone formation. Substrate immobilization or surface coating techniques retard diffusion of the soluble bone protein away from the implant site so that cartilage and

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

АT	Austria	GA	Gabon	MR	Mauritania
ΑÜ	Australia	GB	United Kingdom	MW	Malawi
BB	Barbados	HU	Hungary	NL	Netherlands
BE	Belgium	IT	Italy	NO	Norway
BG	Bulgaria	JP	Japan	RO	Romania
BR	Brazil	КP	Democratic People's Republic		Sudan
CF	Central African Republic		of Korea	SE	Sweden
CG	Congo	KR	Republic of Korea	SN	Senegal
CH	Switzerland	Lí	Liechtenstein	SU	Soviet Union
CM	Cameroon	LK	Sri Lanka	TD	Chad
DE	Germany, Federal Republic of	៤ប	Luxembourg	TG	Togo
DK	Denmark	MC	Мопасо	US	United States of America
FI	Finland	MG	Madagascar	0.5	Office States of Afficia
FR	France	MI.	Mali		

<u>.</u>

Process of Adapting Soluble Bone Protein for Use in Stimulating Osteoinduction

5

10

Technical Field

This invention relates generally to a method for stimulating osteoinduction and more specifically to a process for adapting soluble 'regenerating' factors to effectively initiate new cartilage and/or bone growth at selected skeletal locations in humans and animals. Background Art

Regeneration of skeletal tissues is regulated by specific protein factors that are naturally present 15 within bone matrix. During the healing process, these components stimulate certain cell populations to form new cartilage and bone tissue which serve to replace that which was lost or damaged. Such protein substances, if extracted and purified, have potential use in clin-20 ical situations where skeletal tissue regeneration is necessary to restore normal function, for example, at fracture sites and at sites of periodontal defects. In addition, such a protein substance can enhance or promote bony ingrowth into various prosthetic devices and 25 bony implants, such as allografts, processed xenogenil bone chips and the like.

Bone matrix protein is readily soluble in body fluids. Its solubility precludes direct in vivo implantation at the site of a skeletal defect. For example, if a dissolvable capsule containing soluble bone protein is implanted at an ectopic intra-muscular site, no cartilage or bone induction occurs. Similarly no cartilage or bone induction occurs when soluble bone protein is incorporated, by lyophilization, into an inert carrier (i.e., demineralized guanidinium chloride extracted)

15

20

25

cancellous or cortical bone chips) and implanted at ectopic sites. Diffusion of such soluble proteins away from the implant site occurs before the 1-2 days necessary for appropriate cell populations to accumulate. Thus, special procedures are required to 'immobilize' this substance in such a way that factor release coincides with the presence of sufficient numbers of responsive cell types which then will be stimulated to form cartilage and bone.

10 Disclosure of the Invention

This application is related to copending application Ser. No. 591,505, under the title of Bone Purification Process, which discloses a process of obtaining a soluble bone matrix derived protein capable of causing undifferentiated cells to undergo chondrogenesis and to copending application Ser. No. 591,440, under the title of Process of and Material for Stimulating Growth of Cartilage and Bony Tissue at Anatomical Sites, which discloses a process in which live cells are exposed in vitro to the bone protein and then transferred in vivo to cause chondro/osteogenesis. The disclosures of both copending applications are incorporated by reference.

The invention provides a method of adapting soluble bone protein for use in osteoinduction and involves combining soluble bone protein purified to a state effective to initiate chondrogenesis with carrier means for retarding diffusion of said protein from a site of in vivo implantation.

In one embodiment of the invention, the carrier
means for retarding diffusion of soluble bone protein
comprises a biocompatible, biodegradable mass capable
of releasing the protein in a time dependent manner. A
preferred carrier of this class is a fibrin clot. A
fibrin clot is useful in that it can be molded to fit
the contours of small defects such as periodontal pockets.

WO 86/00526

5

10

In another embodiment the carrier means comprises bony implant means such as allografts, specially processed xenogenic bone chips and the like, treated so as to be capable of releasing the protein in a time dependent manner. The bony implant means can be treated by soaking in a surface coating solution, such as a gelatin or fibrin solution or the like, that is capable of being dried to form an adherent, biodegradable coating. Another method of immobilization involves chemical crosslinking of inert carriers into which soluble bone matrix derived protein has been incorporated. Both techniques are effective to trap the soluble bone protein and to provide for its controlled release when implanted in vivo.

15 A preferred procedure involving demineralized, defatted, 4M guanidinium chloride extracted bone, which is typically 90% Type I collagen, comprises soaking the bone in a solution of the soluble bone protein, drying the bone, and then cross-linking it with agents such as 20 gluteraldehyde, formaldehyde, carbodiimide or the like. The cross-linking procedure effected by these agents results in a molecular collapse of the collagenous matrix structure thereby trapping incorporated soluble bone protein. Such physical entrapment within this 25 insolubilized matrix increases the time normally required for matrix hydration by interstitial fluid so that protein release is sufficiently prolonged to allow for adequate host tissue ingrowth.

Another embodiment of the invention which is particularly applicable to prosthetic devices comprises
the steps of soaking the prosthesis in a surface coating
solution containing soluble bone protein purified to a
state effective to initiate chondrogenesis and a controlled release agent. The surface coating is dried on
the prosthesis so as to form an adherent insolubilized

10

15

coating capable of releasing the protein in a time dependent manner. The controlled release agent is at least one member selected from the group consisting of gelatin and fibrin, with gelatin being preferred. gelatin-bone protein coating, which can be applied to a variety of prosthetic devices to initiate cartilage and bone formation at the tissue-implant interface, is insolubilized directly upon the implant by simple dehy-The relatively insoluble nature of the dehy-, drated complex prolongs protein release for the approp-Fixation of steel, ceramic and other riate period. metal alloy implant devices used for reconstruction or stabilization of damaged bone will be enhanced through the stimulation of a natural osseous bridge anchoring the implant within the surrounding skeletal tissue.

Other features and a fuller understanding of the invention will be had from the following detailed description of the best modes.

Best Modes for Carrying Out the Invention

The following examples more fully describe the invention useful for regenerating skeletal tissue by enhancing bone ingrowth into bone defects, bony implants and prosthetic devices.

25 Example I

An intended use for the method of the present invention involves the direct implantation into fracture sites or periodontal defects of treated bone into which soluble bone protein has been incorporated.

Bovine cancellous bone chips were demineralized in 0.6M HCl for 4 days at 4°C. Following a cold (4°C) water wash, the bone pieces were defatted in chloroform-methanol (1:1) at room temperature and allowed to air dry overnight. A 4M guanidinium chloride extraction (3 days at 4°C) removed soluble components possessing inter-

10

15

fering biological or immunological properties. The bone pieces were then lyophilized.

Small square shaped pieces (4-5 mm Long \times 1-2 mm wide) of the demineralized, defatted bone were incubated under vacuum in an aqueous solution containing 800-1000 ugs Lowry protein/ml of soluble bone protein identified as Protein AVI, prepared in accordance with the process disclosed in Ser. No. 591,505. One such treated bone piece absorbed approximately 200ul of solution, thereby incorporating about 200ug of soluble bone protein. cause the treated bone piece had a highly porous structure, the surface area could not be accurately determined, but was estimated to be $2-3cm^2$, which gave a coating concentration of roughly $60-100 \, \text{ug/cm}^2$. The bone pieces were lyophilized. Some of them were further treated by cross-linking with gluteraldehyde (2.5% in water) at 4°C overnight. Excess gluteraldehyde was removed with a cold water rinse.

Other small pieces of the demineralized defatted

bone were soaked in a 10% gelatin solution containing

800ug/ml of Protein Avr. These were air dried overnight
so as to coat the external surfaces of the carrier with
a thin layer of semi-solid gelatin-bone protein mixture.

A 10% gelatin solution solidifies at 25°C and progres
sively dehydrates with time forming first a viscousglue and finally a dry adherent paste.

Example 2

prepared in accordance with the procedure disclosed in Ser. No. 591,505. 800 to 100ug Protein AVI was added to 1 ml of 0.1M phosphate buffer (ph 7.4) containing 50 mg fibrinogen. To this mixture was added 20 units of thrombin (20 ul of a 1000 units/ml stock solution).

Within several minutes a clot formed, trapping Protein AVI inside the clot.

10

25

30

35

ţ

The clot was implanted into a defect in the illiac crest of adult Fischer rats. This defect was created by removing a standarized section (0.5 cm) of crest bone form the illium using a pair of roungers. Three to four weeks after implantation, the site of the implant was assessed histologically. Defect sites implanted with fibrin clots containing soluble bone protein showed increased amounts of cartilage or bone formation compared to the amounts of cartilage or bone formed at defect-sites implanted with fibrin clots containing albumen or with fibrin clots alone.

Example 3

Demineralized bone cnips prepared as described in

Example 1, (4 to 5mm long; 1 to 2mm wide) were incubated in a 10% gelatin solution containing 800ug/ml of the Protein AvI. The treated bone chips were air dried and implanted at an ectopic intra muscular site in five to eight week old CBA male mice. Fourteen days after implantation, sites were examined by X-ray and histology. Sites implanted with gelatin-soluble bone protein coated chips showed induction of cartilage and bone. Sites implanted with gelatin-albumen coated chips showed no induction.

Example 4

Demineralized bone chips prepared as described in Example 1 were incubated under vacuum in an aqueous solution containing 800-1000ug/ml of Protein AvI. The chips were cross-linked by soaking overnight in a 2.5% solution of gluteraldehyde at 4°C. The chips were implanted into ectopic intra-muscular sites as in Example 3. Fourteen days after implantation, the sites were examined by X-ray and histology. Sites implanted with cross-linked bone protein chips showed induction of

cartilage and bone formation. Sites implanted with cross-linked albumen chips showed no induction.

Example 5

Human bone chips demineralized and defatted as described in Example 1 were incubated under vacuum in an aqueous solution containing 800-1000ug/ml of Protein AVI. The chips were cross-linked with gluteraldehyde as in Example 4 were implanted in an ectopic sub-cutaneous site in white leghorn chick hatchlings. Fifteen days after implantation the sites were examined histologically. Sites implanted with cross-linked bone protein chips showed cartilage and bone deposits. Sites implanted with cross-linked albumen chips showed fibrous encapsulation only.

Modifications of the above invention and materials and procedures employed therein which are obvious to persons of skill in the art are intended to be within the scope of the following claims.

25

Claims

- 1. A method of adapting soluble bone protein for use in osteoinduction comprising combining soluble bone protein purified to a state effective to initiate chondrogenesis with carrier means for retarding diffusion of said protein from a site of in vivo implantation.
- 2. The method of Claim 1 wherein said carrier means comprises a biocompatible, biodegradable mass capable of releasing the protein in a time dependent manner.
- The method of Claim 2 wherein said carrier
 means comprises a fibrin clot.
- 4. The method of Claim 1 wherein said carrier means comprises bony implant means treated so as to be capable of releasing the protein in a time dependent manner.
 - 5. The method of Claim 4 wherein said bony implant means is treated by soaking in a surface coating solution of controlled release agent and drying to form an adherent coating capable of releasing the protein in a time dependent manner.
- 6. The method of Claim 4 wherein said bony implant means is treated by cross-linking so that it is capable of releasing the protein in a time dependent manner.
 - 7. The method of Claim 1 wherein said carrier means comprises a prosthesis treated so as to be capable of releasing the protein in a time dependent manner.

- 8. A method of adapting soluble bone protein for use in osteoinduction comprising the steps of soaking an allograft in an aqueous solution of a controlled release agent and soluble bone protein purified to a state effective to initiate chondrogenesis, and drying the allograft to form an adherent coating capable of releasing the protein in a time dependent manner.
- 9. A method of adapting soluble bone protein for use in osteoinduction comprising the steps of soaking demineralized, defatted bone, extracted to remove biological or immunological properties, in an aqueous solution of bone protein purified to a state effective to initiate chondrogenesis, and cross-linking the treated bone so that it is capable of releasing the protein in a time dependent manner.
- 10. A method of adapting soluble bone protein for use in osteoinduction comprising the steps of soaking a prosthesis in a surface coating solution of a controlled release agent and bone protein purified to a state effective to initiate chondrogenesis and drying the prosthesis to form an adherent coating capable of releasing the protein in a time dependent manner.

25

- 11. The method of Claims wherein the controlled release agent is at least one member selected from the group consisting of gelatin and fibrin.
- 12. The method of Claim 8 wherein the controlled release agent is at least one member selected from the group consisting of gelatin and fibrin.
- 13. The method of Claim 10 wherein the controlled release agent is at least one member selected from the group consisting of gelatin and fibrin.

AMENDED CLAIMS

[received by the International Bureau on 22 October 1985 (22.10.85); original claims 1 and 11 cancelled; claims 2,3,4 and 7 amended; remaining claims unchanged (3 pages)]

1. (Cancelled)

- 2. (Amended) A method of adapting soluble bone protein for use in osteoinduction comprising combining soluble bone protein purified to a state effective to initiate chondrogenesis with a biocompatible, biodegradable mass capable of releasing the protein in a time dependent manner for retarding diffusion of said protein from a site of in vivo implantation.
- 3. (Amended) A method of adapting soluble bone protein for use in osteoinduction comprising combining soluble bone protein purified to a state effective to initiate chondrogenesis with a fibrin clot for retarding diffusion of said protein from a site of in vivo implantation.
- 4. (Amended) A method of adapting soluble bone protein for use in osteoinduction comprising combining soluble bone protein purified to a state effective to initiate chondrogenesis with bony implant means treated so as to be capable of releasing the protein in a time dependent manner for retarding diffusion of said protein from a site of in vivo implantation.
- 5. The method of Claim 4 wherein said bony implant means is treated by soaking in a surface coating solution of controlled release agent and drying to form an adherent coating capable of releasing the protein in a time dependent manner.

- 6. The method of Claim 4 wherein said bony implant means is treated by cross-linking so that it is capable of releasing the protein in a time dependent manner.
- 7. (Amended) A method of adapting soluble bone protein for use in osteoinduction comprising combining soluble bone protein purified to a state effective to initiate chondrogenesis with a prosthesis treated so as to be capable of releasing the protein in a time dependent manner for retarding diffusion of said protein from a site of in vivo implantation.
- 8. A method of adapting soluble bone protein for use in osteoinduction comprising the steps of soaking an allograft in an aqueous solution of a controlled release agent and soluble bone protein purified to a state effective to initiate chondrogenesis, and drying the allograft to form an adherent coating capable of releasing the protein in a time dependent manner.
- 9. A method of adapting soluble bone protein for use in osteoinduction comprising the steps of soaking demineralized, defatted bone, extracted to remove biological or immunological properties, in an aqueous solution of bone protein purified to a state effective to initiate chondrogenesis, and cross-linking the treated bone so that it is capable of releasing the protein in a time dependent manner.
- 10. A method of adapting soluble bone protein for use in osteoinduction comprising the steps of soaking a prosthesis in a surface coating solution of a controlled release agent and bone protein purified to a state effective to initiate chondrogenesis and drying the prosthesis

to form an adherent coating capable of releasing the protein in a time dependent manner.

11. (Cancelled)

5

- 12. The method of Claim 8 wherein the controlled release agent is at least one member selected from the group consisting of gelatin and fibrin.
- 13. The method of Claim 10 wherein the controlled release agent is at least one member selected from the group consisting of gelatin and fibrin.

INTERNATIONAL SEARCH REPORT International Application No PCT/US85/01291 I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) 3 According to International Patent Classification (IPC) or to both National Classification and IPC $INT \cdot CL \cdot 4$ A61K 37/00 U.S. CL. 3/1.9; 424/95; 514/2, 21 II. FIELDS SEARCHED Minimum Documentation Searched Classification System Classification Symbols U.S. 3/1.9; 424/95; 514/2. 21 Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched 6 III. DOCUMENTS CONSIDERED TO BE RELEVANT 14 Category Citation of Document, 16 with indication, where appropriate, of the relevant passages 17 Relevant to Claim No. 18 US, A, 4,394,370 PUBLISHED 19 JULY 1983 Α 1-10 & 12-**JEFFERIES** 13 US, A, 4,430,760 PUBLISHED 14 FEBRUARY 1984 Α 1-10 & 12-SMESTAD US, A, 4,440,750 PUBLISHED 03 APRIL 1984 A 1-10 & 12-GLOWAKI ET AL 13 US, A, 4,472,840 PUBLISHED 25 SEPTEMBER 1984 **JEFFERIES** US, A. 4,526,909 PUBLISHED 02 JULY 1985 URIST, See column 1, lines 53-65. Special categories of cited documents: 15 later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the "A" document defining the general state of the art which is not considered to be of particular relevance invention earlier document but published on or after the international filing date "X" document of particular relevance; the claimed invention cannot be considered to involve an inventive step document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. document referring to an oral disclosure, use, exhibition or other means document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family IV. CERTIFICATION Date of the Actual Completion of the International Search * Date of Mailing of this International Search Report : 17 SEP 1985 14 AUGUST 1985 International Searching Authority t Signature of Authorized Officerity

**MULLIMS - Tilogan

Kriellion S. Morgan ISA/US

International Application No. PCT/US85/01291 FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET V. OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE 10 This international search report has not been established in respect of certain claims under Article 17(2) (a) for the following reasons: 1. Claim numbers because they relate to subject matter 12 not required to be searched by this Authority, namely: 2 Claim numbers 11, because they relate to parts of the International application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out 13, specifically: Claim 11 fails to comply with the prescribed requirements in that its dependency is incorrect and the embodiment of that claim is unclear. VI. OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING 11 This international Searching Authority found multiple inventions in this international application as follows: 1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application. 2. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims: 3. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the Invention first mentioned in the claims; it is covered by claim numbers: As all searchable claims could be searched without effort justifying an additional fee, the international Searching Authority did not invite payment of any additional fee. Remark on Protest The additional search fees were accompanied by applicant's protest. No protest accompanied the payment of additional search fees.

Date: 28/07/1999|

WESTMAN, CHAMPLIN & KELLY Attn. DARDI, Peter S.
Suite 1600 - International Centre 900 Second Avenue South Minneapolis, Minnesota 55402-3319 UNITED STATES OF AMERICA

e,..

THIS PAGE BLANK (USPTO)